



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
08/477,354	06/07/95	HAWLEY-NELSON	P 32-95

0242/0811

GREENLEE AND WINNER
5370 MANHATTAN CIRCLE
SUITE 201
BOULDER CO. 80303

0000

DATE MAILED:

08/11/95

NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$_____ for large entities or \$_____ for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☐ large entity, ☐ small entity (verified statement filed), is \$ 1652.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☒ The statutory basic filing fee is: ☒ missing ☐ insufficient. Applicant as a ☒ large entity ☐ small entity, must submit \$ 950 to complete the basic filing fee.
2. ☒ Additional claim fees of \$ 792 as a ☒ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. ☐ The oath or declaration:
☐ is missing.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.
4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
5. ☒ The signature(s) to the oath or declaration is/are: ☒ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:

_____ An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$_____ under 37 CFR 1.17(k), unless this fee has already been paid.
8. ☐ A \$_____ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).
9. ☐ Your filing receipt was mailed in error because your check was returned without payment.
10. ☒ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
11. ☐ Other.

Direct the response to Box Missing Part and refer any questions to the Customer Service Center at (703) 308-1202.

A copy of this notice MUST be returned with the response.

COPY TO BE RETURNED WITH RESPONSE



730.00-101
792.00-103
130.00-105

Sequence Listing

Alv

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :
Hawley-Nelson et al. : Group Art Unit: Unassigned
Serial No.: 08/477,354 : Examiner: Unassigned
Filed: June 7, 1995 :
For: PEPTIDE-ENHANCED CATIONIC :
LIPID TRANSFECTIONS :

I hereby certify that this correspondence is being deposited
with the United States Postal Service as first class mail in an
envelope addressed to: Commissioner of Patents and
Trademarks, Washington, D.C. 20231 on

September 12, 1995
(Date of deposit)

Rebecca Wair
Name of Applicant, Assignee or Registered Representative

Rebecca Wair
Signature

September 12, 1995
Date of Signature

TRANSMITTAL

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts Filing Date
Granted and the Notice to Comply with Requirements for Patent
Applications Containing Nucleotide Sequence and/or Amino Acid
Sequence Disclosures mailed by the Patent and Trademark Office on
August 11, 1995, submitted herewith are:

Copy of Form PTO-1533

Executed Declaration

Check for \$1,652.00 (including \$130 for the surcharge and 1522
for the filing and extra claims fees)

Preliminary Amendment with paper copy of Sequence Listing

Copy of the Notice to Comply with Requirements for Patent
Applications Containing Nucleotide Sequence and/or Amino

020 TT 09/26/95 08477354	1 101	730.00 CK
020 TT 09/26/95 08477354	1 103	792.00 CK
020 TT 09/26/95 08477354	1 105	130.00 CK

Acid Sequence Disclosures

Statement under 37 C. F.R. 1.821-825

Write-protected diskette containing the Sequence Listing

Petition for Extension of Time

Check for \$110 (petition fee)

This submission is accompanied by a Petition for Extension of Time and the requisite fee. It is believed that no additional fees are due under 37 C.F.R. 1.16-1.17. If the enclosed amounts are not correct, please charge any balance or credit any overpayment to deposit account No. 07-1969.

Respectfully submitted,



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Attorney Docket No. 32-95
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